

Some non-Koszul Algebras I Met On Sabbatical

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Outline

1) Graded algebras, Ext, and Koszul algebras

2) The Algebras

Graded algebras

Let k denote a field - you can think of $k = \mathbb{Q}, \mathbb{R}, \mathbb{C}$, if you'd like. Most objects in the talk will be (at least) vector spaces over k .

Let A denote a unital associative algebra over k .

We say A is *connected, graded, locally finite* if:

1) as a vector space

$$A = A_0 \oplus A_1 \oplus A_2 \oplus \cdots = \bigoplus_{i \geq 0} A_i,$$

where each A_i is a finite-dimensional vector space over k ,

2) $A_i A_j \subseteq A_{i+j}$, $\forall i, j \geq 0$,

3) $A_0 = k$.

Graded algebras

From now on, A will denote a connected, graded, locally finite, unital associative algebra over k - we'll say A is a *k -algebra* for short.

Each $a \in A$ can be written uniquely as

$$a = a_0 + a_1 + \cdots + a_k, \quad a_i \in A_i.$$

We say a_i is *homogeneous of degree i* .

The *Hilbert series of A* is

$$H_A(t) := \sum_{i=0}^{\infty} \dim_k(A_i) t^i.$$

It's generally a challenging problem to compute $H_A(t)$; equivalently, determine all $\dim_k(A_i)$.

Example 1, the tensor algebra

Let V be an n -dimensional vector space over k .

The *tensor algebra on V* is defined as follows. As a vector space,

$$T(V) := k \oplus V \oplus (V \otimes V) \oplus (V \otimes V \otimes V) \oplus \cdots = \bigoplus_{i \geq 0} V^{\otimes i}.$$

Multiplication is given by

$$(x_1 \otimes \cdots \otimes x_i) \cdot (y_1 \otimes \cdots \otimes y_j) = x_1 \otimes \cdots \otimes x_i \otimes y_1 \otimes \cdots \otimes y_j.$$

Since $\dim_k V^{\otimes i} = n^i$, we get

$$H_{T(V)}(t) = 1 + nt + n^2 t^2 + \cdots = \frac{1}{1 - nt}.$$

Example 2, the polynomial algebra

Let V be an n -dimensional vector space over k .

Set

$$S(V) := T(V) / \langle x \otimes y - y \otimes x \mid x, y \in V \rangle.$$

Then $S(V)$ is the k -algebra of polynomials in n variables, say x_1, \dots, x_n .

The dimension of the i th graded piece is

$$\dim_k S(V)_i = \# \left\{ x_1^{d_1} \cdots x_n^{d_n} \mid \sum_{j=1}^n d_j = i \right\} = \binom{i+n-1}{n-1}.$$

$$\text{Therefore } H_{S(V)}(t) = \frac{1}{(1-t)^n}.$$

Example 3, the exterior algebra

Let V be an n -dimensional vector space over k .

Set

$$\Lambda(V) := T(V)/\langle x \otimes x \mid x \in V \rangle;$$

we'll use \wedge for the multiplication operator on $\Lambda(V)$.

Note that $x \wedge y = -(y \wedge x)$ for all $x, y \in V$.

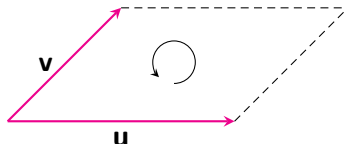
It follows that $\dim_k \Lambda(V)_i = \binom{n}{i}$, so

$$H_{\Lambda(V)}(t) = 1 + nt + \binom{n}{2}t^2 + \cdots + nt^{n-1} + t^n = (1+t)^n.$$

Geometry and the exterior algebra

Let \mathbf{u}, \mathbf{v} be vectors in \mathbb{R}^2 .

$$\mathbf{u} \wedge \mathbf{v} =$$



$$= - \left\{ \begin{array}{c} \text{Diagram of a parallelogram formed by vectors } \mathbf{v} \text{ and } \mathbf{u} \text{ in } \mathbb{R}^2. \text{ Vector } \mathbf{v} \text{ is horizontal and points to the right. Vector } \mathbf{u} \text{ points up and to the right. A clockwise circular arrow is drawn in the center of the parallelogram, indicating a negative orientation. Dashed lines complete the parallelogram.} \end{array} \right\} = -(\mathbf{v} \wedge \mathbf{u})$$

We can think of *the wedge product* as a geometrically defined multiplication.

Geometry and the exterior algebra

Let $\mathbf{e}_1, \mathbf{e}_2$ denote the standard basis vectors for \mathbb{R}^2 .

Write $\mathbf{u} = a\mathbf{e}_1 + b\mathbf{e}_2, \mathbf{v} = c\mathbf{e}_1 + d\mathbf{e}_2$ for some $a, b, c, d \in \mathbb{R}$.

Then

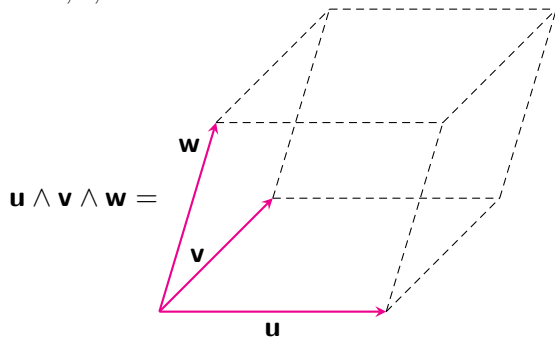
$$\begin{aligned}\mathbf{u} \wedge \mathbf{v} &= (a\mathbf{e}_1 + b\mathbf{e}_2) \wedge (c\mathbf{e}_1 + d\mathbf{e}_2) \\ &= ace_1 \wedge \mathbf{e}_1 + ade_1 \wedge \mathbf{e}_2 + bce_2 \wedge \mathbf{e}_1 + bde_2 \wedge \mathbf{e}_2 \\ &= (ad - bc)\mathbf{e}_1 \wedge \mathbf{e}_2.\end{aligned}$$

Recall that the area of the parallelogram determined by \mathbf{u} and \mathbf{v} is

$$\left| \det \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right| = |ad - bc|.$$

Geometry and the exterior algebra

Let $\mathbf{u}, \mathbf{v}, \mathbf{w}$ be vectors in \mathbb{R}^3 .



Writing $\mathbf{u}, \mathbf{v}, \mathbf{w}$ in the standard basis, $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$, of \mathbb{R}^3 yields

$$\mathbf{u} \wedge \mathbf{v} \wedge \mathbf{w} = (\mathbf{u} \cdot (\mathbf{v} \times \mathbf{w})) \mathbf{e}_1 \wedge \mathbf{e}_2 \wedge \mathbf{e}_3.$$

Recall that the volume of the paralleliped is given by the absolute value of the *triple product* $\mathbf{u} \cdot (\mathbf{v} \times \mathbf{w})$.

The Ext algebra (as a graded vector space)

We are going to define the *Ext algebra*

$$E = \text{Ext}_A(k, k) = \bigoplus_{i \geq 0} \text{Ext}_A^i(k, k) = \bigoplus_{i \geq 0} E^i.$$

Let

$$\cdots \xrightarrow{f_2} P_2 \xrightarrow{f_1} P_1 \xrightarrow{f_0} P_0 \xrightarrow{\epsilon} k \longrightarrow 0$$

be a resolution of ${}_A k$ by graded projective left A -modules.

Now apply the graded dual functor, $\text{Hom}_A(-, k)$.

$$0 \longrightarrow \text{Hom}_A(P_0, k) \xrightarrow{f_0^*} \text{Hom}_A(P_1, k) \xrightarrow{f_1^*} \text{Hom}_A(P_2, k) \xrightarrow{f_2^*} \cdots$$

Then define $\text{Ext}_A^i(k, k) := \ker f_i^* / \text{im } f_{i-1}^*$.

The Ext algebra (as a graded algebra)

Theorem

The left A -module ${}_A k$ has a unique (up to isomorphism) minimal resolution.

Here *minimal* means $f_i^* = 0$ for all i .

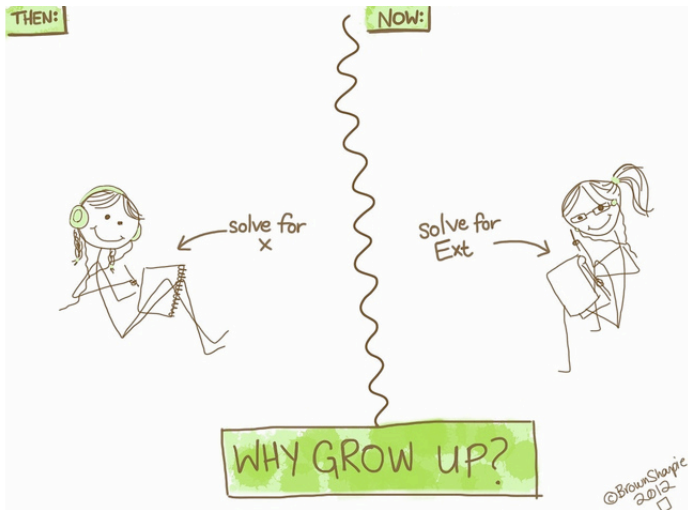
So if $P_\bullet \rightarrow k$ is minimal, then $E^i = \text{Hom}_A(P_i, k)$.

We want to define a natural multiplication, \star , on the graded vector space E , so let $\alpha \in E^i = \text{Hom}_A(P_i, k)$, $\beta \in E^j = \text{Hom}_A(P_j, k)$.

$$\begin{array}{ccccccc}
 P_{i+j} & \cdots & P_{j+1} & \xrightarrow{f_j} & P_j & & \\
 \downarrow \beta_i & & \downarrow \beta_1 & & \downarrow \beta_0 & \searrow \beta & \\
 P_i & \cdots & P_1 & \xrightarrow{f_0} & P_0 & \xrightarrow{\epsilon} & k \\
 \downarrow \alpha & & & & & & \\
 & & & & & & k
 \end{array}$$

Define $\alpha \star \beta \in E^{i+j} = \text{Hom}_A(P_{i+j}, k)$ by $\alpha \star \beta := \alpha \circ \beta_i$.

Solving for Ext?



Quadratic algebras and their duals

A k -algebra A is **quadratic** if it can be presented as $A = T(V)/\langle R \rangle$ where $R \subseteq V \otimes V$ is a subspace.

Note that all of the examples we gave above are quadratic.
Quadratic algebras are ubiquitous in mathematics.

Every quadratic algebra has a natural **quadratic dual**:

$$A^! := T(V^*)/\langle R^\perp \rangle,$$

where $R^\perp = \{h \in V^* \otimes V^* \mid h(r) = 0 \text{ for all } r \in R\}$.

Finally, note that $(A^!)^! \cong A$.

Example, the quadratic dual of the polynomial ring on two variables

Let x, y be a basis for V , with dual basis x^*, y^* for V^* .

Recall that R is the linear span of $x \otimes y - y \otimes x$.

Claim: $R^\perp = \text{span}_k\{f \otimes f \mid f \in V^*\}$.

(\supseteq) Let $f = ax^* + by^*$, $a, b \in k$. Then

$$\begin{aligned} f \otimes f &= (ax^* + by^*) \otimes (ax^* + by^*) \\ &= a^2x^* \otimes x^* + ab(x^* \otimes y^* + y^* \otimes x^*) + b^2y^* \otimes y^*. \end{aligned}$$

The last expression vanishes on $x \otimes y - y \otimes x$.

(\subseteq) Let $g \in R^\perp$. Write

$$g = ax^* \otimes x^* + bx^* \otimes y^* + cy^* \otimes x^* + dy^* \otimes y^*.$$

Evaluating g on $x \otimes y - y \otimes x$ yields $b = c$. Now

$$\begin{aligned} g &= (a - b)x^* \otimes x^* + b(x^* + y^*) \otimes (x^* + y^*) + (d - b)y^* \otimes y^* \\ &\in \text{span}_k\{f \otimes f \mid f \in V^*\}. \end{aligned}$$

Koszul algebras (definition)

Recall the notion of graded projective resolution of ${}_A k$ for any k -algebra A .

$$\cdots \xrightarrow{f_2} P_2 \xrightarrow{f_1} P_1 \xrightarrow{f_0} P_0 \xrightarrow{\epsilon} k \longrightarrow 0$$

The P_i are graded free A -modules, so choosing bases for the P_i allows us to write the f_i as matrices with entries in A .

Definition

A graded algebra A is *Koszul* if ${}_A k$ has a graded projective resolution in which the matrix entries of all the f_i are linear (elements of A_1).

Koszul Algebras (history)



Stewart Priddy introduced the notion of *Koszul algebra* in 1970. He was interested in computing the cohomology of topological spaces, specifically, he was studying the (stable) homotopy groups of spheres.

Priddy called them Koszul algebras in honor of Jean-Louis Koszul who had studied related notions for enveloping algebras of Lie algebras in the 1950s.

Koszul algebras and quadratic algebras

“Koszul” \implies “quadratic”, but the converse is false (as we will see soon).

Koszul algebras arise in many areas: topology, algebraic geometry (commutative and non-commutative), number theory, combinatorics.

Theorem (Priddy)

Let A be a quadratic algebra. Then A is Koszul if and only if $\text{Ext}_A(k, k) \cong A^!$.

Corollary

Let A be a Koszul algebra. Then $H_A(t)H_{A^!}(-t) = 1$.

So Koszul algebras are algebras in which: “Ext is easy to solve for” and we get information on Hilbert series.

Example, the polynomial ring on two variables

Let $A = k[x, y]$.

To prove A is Koszul we have to resolve ${}_A k$ and see what the maps look like. Using the fact that we have a nice basis for A , namely $\{x^i y^j \mid i, j \geq 0\}$, it is not hard to show

$$0 \longrightarrow A \xrightarrow{\begin{pmatrix} -y & x \end{pmatrix}} A \oplus A \xrightarrow{\begin{pmatrix} x \\ y \end{pmatrix}} A \xrightarrow{\epsilon} k \longrightarrow 0$$

is a projective resolution of ${}_A k$.

Therefore $k[x, y]$ is Koszul and $\text{Ext}_A(k, k) \cong \Lambda(x^*, y^*)$ where x^*, y^* are dual to x, y respectively.

Computing an Ext product

Let's show that $x^* \star x^* = 0$.

$$\begin{array}{ccccc}
 A & \xrightarrow{(-y \quad x)} & A \oplus A & & \\
 \downarrow \scriptstyle (0 \quad -1) & & \downarrow \scriptstyle \begin{pmatrix} 1 \\ 0 \end{pmatrix} & \searrow \scriptstyle x^* & \\
 A \oplus A & \xrightarrow{\begin{pmatrix} x \\ y \end{pmatrix}} & A & \xrightarrow{\epsilon} & k \\
 \downarrow \scriptstyle x^* & & & & \\
 & & k & &
 \end{array}$$

Since the composition $(0 \quad -1) x^* = 0$, we get $x^* \star x^* = 0$.

The algebra $\mathcal{U}(\mathfrak{g})$

Fix an integer $n \geq 2$.

Let V be the vector space over \mathbb{Q} on the basis $\{X_{ij} \mid 1 \leq i \neq j \leq n\}$.

$$\begin{pmatrix} * & X_{12} & X_{13} & \cdots & X_{1n} \\ X_{21} & * & X_{23} & \cdots & X_{2n} \\ \vdots & & & & \vdots \\ X_{n1} & \cdots & \cdots & X_{nn-1} & * \end{pmatrix}$$

Let R be the subspace of $V \otimes V$ spanned by

$$\begin{aligned} &[X_{ij}, X_{kj}], \\ &[X_{ij}, X_{ik} + X_{jk}], \\ &[X_{ij}, X_{kl}], \end{aligned}$$

for all i, j, k, l distinct. (Here $[a, b] = ab - ba$.)

Define $\mathcal{U}(\mathfrak{g}) := T(V)/\langle R \rangle$ (enveloping algebra of a Lie algebra \mathfrak{g}).

Where does $\mathcal{U}(\mathfrak{g})$ come from?

It follows from work of Jensen-McCammond-Meier in 2006 that

$$\mathcal{U}(\mathfrak{g})^! = H^*(G, \mathbb{Q}) = \mathrm{Ext}_{\mathbb{Q}G}(\mathbb{Q}, \mathbb{Q}),$$

where G denotes the **McCool group**.

The McCool group is a group whose elements can be realized as either:

- 1) movies of unlinked circles moving in \mathbb{R}^3 , or
- 2) certain automorphisms of a free group.

Question

Is $\mathcal{U}(\mathfrak{g})$ a Koszul algebra? (Raised by Cohen-Pruidze, Denham.)

Koszulity of $\mathcal{U}(\mathfrak{g})$

For $n = 2, 3$ it's not hard to prove $\mathcal{U}(\mathfrak{g})$ is Koszul.

Theorem (Conner-G)

For $n \geq 4$, $\mathcal{U}(\mathfrak{g})$ is not Koszul.

Some words about the proof.

- 1) It suffices to prove the result for $n = 4$ since there is a split injection $i : \mathcal{U}(\mathfrak{g})_n \rightarrow \mathcal{U}(\mathfrak{g})_{n+1}$.
- 2) There is a free Lie subalgebra $\mathfrak{h} \subseteq \mathfrak{g}$. The Hochschild-Serre spectral sequence \implies it suffices to show $\mathcal{U}(\mathfrak{g}/\mathfrak{h})$ is not Koszul.

Koszulity of $\mathcal{U}(\mathfrak{g})$

3) The Hilbert series of $\mathcal{U}(\mathfrak{g}/\mathfrak{h})^!$ is $(1 + 4t)^2$. So “ $\mathcal{U}(\mathfrak{g}/\mathfrak{h})$ Koszul” $\implies H_{\mathcal{U}(\mathfrak{g}/\mathfrak{h})} = \frac{1}{(1-4t)^2}$.

4) We found that $H_{\mathcal{U}(\mathfrak{g}/\mathfrak{h})}$ agrees with $\frac{1}{(1-4t)^2} =$

$$1 + 8t + 48t^2 + 256t^3 + 1280t^4 + 6144t^5 + 28672t^6 + 131072t^7 + \dots,$$

but, in degree 8, the coefficient of t^8 in $\frac{1}{(1-4t)^2}$ is 589824 whereas the coefficient of t^8 in $H_{\mathcal{U}(\mathfrak{g}/\mathfrak{h})}$ is 589834.

5) The third matrix, M_3 , in a minimal resolution of k contains elements of degree 6.

The fact that $\text{Ext}_{\mathcal{U}(\mathfrak{g})}^{3,8}(k, k)$ is nonzero, implies that \mathfrak{g} has some nontrivial crossed modules. However what these are remains mysterious, at the moment.

The algebras, \mathcal{E}_n

Fix an integer $n \geq 2$.

Let V be the k -vector space with basis $\{X_{ij} \mid 1 \leq i < j \leq n\}$.

Let R be the subspace of $V \otimes V$ spanned by

$$\begin{aligned} &X_{ij}^2, \\ &X_{ij}X_{jk} - X_{jk}X_{ik} - X_{ik}X_{ij}, \\ &X_{jk}X_{ij} - X_{ik}X_{jk} - X_{ij}X_{ik}, \\ &[X_{ij}, X_{kl}], \end{aligned}$$

for all $i < j < k$.

Define $\mathcal{E}_n := T(V)/\langle R \rangle$.

Divided difference operators

Where do the relations of \mathcal{E}_n come from?

Let ∂_{ij} be the **divided difference operator**.

It acts on the polynomial ring $k[y_1, \dots, y_n]$ by

$$\partial_{ij}f = \frac{f - s_{ij}f}{y_i - y_j}$$

where $s_{ij}f$ denotes the result of interchanging y_i and y_j in $f \in k[y_1, \dots, y_n]$.

Now one checks that the operators ∂_{ij} satisfy the defining relations of \mathcal{E}_n .

One way of constructing the famous **Schubert polynomials** is by applying compositions of the ∂_{ij} to certain monomials.

Why are the \mathcal{E}_n algebras interesting?

- 1) They were introduced by Fomin and Kirillov in connection with the cohomology of the flag manifold and the corresponding Schubert calculus.
- 2) There is a natural Hopf algebra structure on the twisted group algebra $\mathcal{E}_n \rtimes S_n$ where S_n denotes the symmetric group.
- 3) They fit into a larger framework of braided Hopf algebras associated to Coxeter groups (Milinski-Schneider), and they are related to Nichols algebras.

Open Question

Is \mathcal{E}_n finite-dimensional for $n \geq 6$?

$$\begin{aligned} \dim_k(\mathcal{E}_2) &= 2, & \dim_k(\mathcal{E}_3) &= 12, \\ \dim_k(\mathcal{E}_4) &= 576, & \dim_k(\mathcal{E}_5) &= 8294400 \end{aligned}$$

Koszulity and \mathcal{E}_n

Theorem (Roos)

For $n \geq 3$, \mathcal{E}_n is not a Koszul algebra.

However, these algebras do appear to be close to Koszul, in some sense.

Theorem (Conner-G)

Let R denote the algebra \mathcal{E}_3 . Then

$$\mathrm{Ext}_R(k, k) \cong R^! [s], \quad \mathrm{Ext}_{R^!}(k, k) \cong R[t]$$

for some classes $s \in \mathrm{Ext}_R^{4,6}(k, k)$, $t \in \mathrm{Ext}_{R^!}^{4,6}(k, k)$.

It seems that not much research has been done on the Ext algebras of the \mathcal{E}_n . Maybe this sheds some light on the finite-dimensionality question?

Thank you for listening!