Forging a New Identity: The Costs and Benefits of Diversity in Civil War Combat Units for Black Slaves and Freemen

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By the end of the Civil War 186,017 black men had served in the Union Army, roughly three-quarters of whom were former slaves. Because most black soldiers were illiterate farm workers, the war exposed them to a much broader world. Their wartime experience depended upon their peers, their commanding officers, and where their regiment toured and affected their later life outcomes. In the short run the combat units benefited from company homogeneity, which built social capital and minimized shirking, but in the long run men’s human capital and acquisition of information was best improved by serving in heterogeneous companies.

Wartime experience can radically alter the course of men’s life. For example, service in World War II and the Vietnam War lowered later earnings because of lost labor market experience, whereas employment during mobilization for World War II permanently raised women’s labor force participation rates and lowered both men’s and women’s wages because of the increase in women’s labor supply.¹

Less is known about the effects of past wars. Brevet Major General Alving C. Voris wrote that the Civil War “has greatly interrupted my line of business.”² Other soldiers, however, may have gained from their Civil War service because it exposed them to a much broader world. This was particularly true for black soldiers, many of whom where illiterate farm workers when they entered the army and over three-quarters of whom were slaves. Ex-slaves faced the challenge of translating their new legal freedom into economic opportunity. A former slave would be

² Mushkat, Citizen-Soldier’s Civil War, p. 66.
Forging a New Identity

more likely to achieve his goals if he was literate and aware of economic opportunities in states he had never lived in. Although serving in the Union Army exposed ex-slaves to combat and disease risk, the Army experience also represented a type of “job training” program. The average black soldier who enlisted in the Union Army and survived served with his unit for 500 days. The “treatment” from participating in this war depended on who were the man’s peers and his commanding officer and where his regiment traveled. Although these men may have preferred to have as comrades men whose background resembled their own, they may have learned the most from living and serving in heterogeneous companies and traveling through the nation.

This article examines the costs and benefits of diversity in black Civil War combat units. Three independent research lines have examined the consequences of diversity for economic outcomes. The recent social capital literature has emphasized the costs of diversity.3 In a diverse environment, people are more likely to free ride, participate less, and be less generous toward others. A second literature has examined the benefits of living and working in a diverse environment. Diversity facilitates learning and information transfer. Building on Jane Jacobs’s ideas, Edward Glaeser, Hedi Kallal, Jose Scheinkman, and Andrei Shleifer find evidence that more diverse local economies grow faster than more specialized economies.4 William Bowen and Derek Bok report that alumni from elite schools pointed to their social interactions in college as helping them to relate to members of different racial groups later in life.5 Jonathan Guryan finds that peer effects partially explain the over-all decline in black dropout rates with school desegregation but that white dropout rates remained unaffected.6 A third research line has examined how diversity within organizations affects outcome measures. Some theoretical work has suggested pathways through which diversity increases organizational efficiency whereas other studies suggest the opposite.7

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5 Bowen and Bok, Shape.
7 The benefits of diversity to organizations include lower costs of adapting to new environments (Carillo and Gromb, “Cultural Inertia”), advantages gained from employing workers with different attachments to the firm (Fershtman, Hvidel, and Weiss, “Cultural Diversity”), and the ability to hire from a wider pool of workers (Athey, Avery, and Zemsky, “Mentoring”). The costs of diversity include less trust between co-workers (Lazear, “Culture”), less communication between workers (Crémer, “Corporate Culture”), and less productive mentoring (Athey, Avery, and Zemsky, “Mentoring”).
We use a unique panel data set of over 5,000 black Civil War soldiers to document the short-run costs to the combat unit of organization diversity and the long-run benefits to individual soldiers of diversity. Our data allow us to construct a soldier’s local peer group during the war and to follow him after the war until his death. Peers differed in age, place of birth, slave status, and if slave, in what plantation they were from. The article first examines how diversity within combat units affected soldiers’ loyalty to their units, where loyalty is measured by soldiers’ willingness not to desert, go AWOL, or commit other disciplinary infractions. This is our main measure of the costs of diversity. A major benefit of diversity is learning from others’ experience. Soldiers from a more diverse company could learn about life in different parts of the country and might be more likely to migrate. Established networks of previous migrants sustain migration flows by providing information and direct assistance in settling in a new area. The data allow us to examine the importance of such contacts both to state migration and to the first postbellum black migration—that from rural to urban areas, including those within the South. Slaves brought into contact with free black men might be more likely to learn how to read and might be more likely to forge a new identity by abandoning their slave names. Contemporary observers wrote that former slaves fitted in Union blue were “completely metamorphosed, not only in appearance and dress, but also in character and relations” and that once in uniform “the chattel is a man.”

THE BLACK MILITARY EXPERIENCE

Black regiments were first formed in 1862, but only in 1863 did the War Department authorize the recruitment of ex-slaves in the southern areas liberated by the Union Army and of freemen in the north. By the end of the Civil War, 186,017 men had entered the U.S. Colored Troops, 26 percent of them from the free states and 24 percent of them from the border states or from the District of Columbia. Roughly 78 percent of age-eligible black men in the free northern states served. The comparable figures for the border states and the Confederacy were 34 and 11 percent, respectively.

Ninety-one percent of black soldiers in our random sample of companies were volunteers (as was true for white soldiers as well), 7 per-

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8 For example, Carrington, Detragiache, and Vishwanath, “Migration”; Munshi, “Networks”; and Moretti, “Social Migrations.”
9 Vickery, Economics.
10 Quoted in Glatthaar, Forged in Battle, p. 79.
11 Metzer, “Records.”
cent were substitutes, and 2 percent were draftees. Relative to whites, black soldiers were paid less, and although they could be promoted to corporal or sergeant, they were still paid the same as privates. Black soldiers and their officers faced greater danger than white troops if they surrendered because of uncertainty as to whether their Confederate opponents would be willing to take prisoners and, if taken prisoner, whether they would be treated as participants in a slave insurrection and hanged.

Black companies’ wartime experiences differed widely. Regiments formed in the last days of the war spent more time in reconstruction duty or were sent to Texas as an “Army of Observation” while Mexico was occupied by French troops. In our sample, regiments recruited earlier and regiments recruited in the Union were more likely to have commanders with abolitionist sympathies. Such commanders were more likely to provide their men with formal educational opportunities. Even among regiments formed early, few experienced combat, and most black soldiers performed a disproportionate share of garrison duty and of fatigue duty during the war, because there were doubts about the ability of black soldiers to fight. Out of 137 black infantry regiments, 35 of them sustained almost three-quarters of the entire loss in action of black troops.

BLACK COMPANY FORMATION AND DIVERSITY

After the issuance of the Emancipation Proclamation in January of 1863, the War Department authorized the formation of black regiments in Connecticut, Massachusetts, and Rhode Island. Once the Bureau of

12 In the border states the slaves of rebellious owners could enlist. In addition, loyal owners could volunteer their slaves for compensation of up $300. In February of 1864 Congress authorized drafting slaves in the border states, promising slaves their freedom and their owners $100. The draft was soon discontinued because of widespread opposition in the border states (United States. War Department. War, Series 3, 3:416, 418–20, 861; MacGregor and Nalty, Blacks, p. 96; and Blassingame, “Recruitment.”). Although such low levels of compensation should induce slaveholders in the border states to keep their best slaves, owners complained that nearby recruiting led their slaves to stop working (Blassingame, “Recruitment”).

13 For a history of black soldiers in the Civil War see Berlin, Reidy, and Rowland, eds., Freedom’s Soldiers; Hargrove, Black Union Soldiers; and Westwood, Black Troops.

14 It was not just slaves who were mistreated who joined the Union Army. Among the first ten slave narratives that recounted own or a family member’s service voluntary service in the Union Army (out of the first 12 narratives there are two recounting capture in the Union Army while being a servant in the Confederate Army), six mentioned that the master was good, three did not comment on the master, and one recounted escape to the Union Army after severe mistreatment. See the database, Greenwood Electronic Media, American Slavery.


16 For example, Berlin, Reidy, and Rowland, Freedom’s Soldiers.

Colored Troops was established in May of 1863 to regulate and supervise the enlistment of black soldiers and the selection of officers, other northern states were authorized to recruit black regiments and the War Department expanded its efforts to recruit in the Union-occupied South. The white officers who commanded the troops were also responsible for recruitment. Recruitment took two forms. One method was to establish headquarters in a community and have several of the white officers comb the countryside for recruits. Another method was to send in a unit of black soldiers, with troops for protection, for a recruitment campaign. Often the families of recruits were brought within Union lines to protect them from the reprisals of slave owners. Colonels whose regiments were not yet at full strength might sometimes request permission to move to different areas of the country to recruit more men. In addition, runaway slaves would cross into the border states or to Union occupied territory to enlist.

Although companies were organized locally, there was diversity within companies of the U.S. Colored Troops, as measured by place of birth, slave status, and age. Some had few older men whereas others had many. Some companies were mainly composed of freemen, others primarily of slaves, and others were more mixed. Some companies drew men from the same state whereas others drew men from different states. This diversity was an accidental by-product of recruiting strategies; men did not join in groups of one hundred, yet regiments’ needed to fill their ranks quickly, and so moved across states as needed. A regiment that had not yet achieved full strength was not sent into battle and officers might lose their commissions if they failed to recruit in a timely manner. In addition, because military life required some amount of literacy, at least among the sergeants and corporals, the white commissioned officers needed to recruit some literate men.

Another dimension of the regimental experience was the officers. The white officers of the U.S. Colored Troops included men committed to abolitionism as well as men seeking a quick promotion. In what follows, we will show that companies with an abolitionist officer were

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18 Most white officers were drawn from the regular U.S. Army Troops. There were some black officers, but none of them were in our sample. The majority of black officers were in the Louisiana Native Guards.
20 For example, see the Regimental Letter Book of the 10th USCT, National Archives Record Group 94.
21 Glatthaar, Forged in Battle, p. 73. Although planters might flee with their most valuable slaves to Confederate lines, the most disaffected slaves may have been the more skilled slaves. As the war progressed, the number of fugitives rose (Wiley, Southern Negroes; and Mullin, Flight).
22 Glatthaar, Forged in Battle, p. 64.
more likely to be early enlistments, but were no more likely to be slave or free.

EMPIRICAL FRAMEWORK

We examine the costs and benefits of diversity in military companies for black slaves and freemen who served in the Union Army. The war required soldiers to perform a relatively narrow, unskilled task. Officers had to turn their men into a disciplined force that would hold its ground in combat, serve on garrison and fatigue duty, and yet would not desert, go AWOL, or sleep on picket duty. We would expect that a more homogeneous company would thus be the more effective military unit. Officers did not need the input of their men in their decision making. After the war, though, soldiers may have benefited from being in a more diverse environment if they learned from their comrades. For units where illiteracy rates were high, learning about life in a new locality from men who had lived there would be especially valuable. In addition, men from other communities might provide direct assistance in finding jobs or housing once the war was over. Slaves brought into contact with freemen might be more likely to identify with freemen and to emulate them. Keith Wilson cites cases of literate former slaves actively assisting Northern teachers (generally white evangelicals, sent by private charitable organizations) and argues that literate soldiers were the most effective teachers both because they shared a common background with their pupils and because they provided one-on-one tutoring during routine duties and during leisure time. Committed regimental chaplains might also set up schools, but as Colonel Thomas Wentworth Higginson noted, “the alphabet must always be very incidental business in a camp.”

We first examine the effects of company diversity on group loyalty while in the service and then we examine the effects of company diversity on postwar outcomes. Our measure of group loyalty during the war is the first case of desertion, AWOL, or arrest. Desertion is the most serious and also the most common offense. The determination of whether a case was desertion or AWOL was made by a military court convened in the field. If a soldier was determined to have deserted, the time that he deserted was the first date that he was missing. Arrests that were not for desertion were for falling asleep while on picket duty, insubordination, drunkenness, robbery, assault, or other crimes and misdemeanors.

23 Mello and Ruckes (“Diversity”) present a formal model in which the characteristics of the leader affect the impact of team diversity on the firm.
24 Wilson, Campfires, pp. 82–108.
We use a time-varying independent competing risk hazard model to estimate days from muster-in until the first case of desertion, AWOL, or arrest. We use a competing risks framework so that we can control for such time-varying variables as morale and whether the war was over, so that we can test whether men became more committed soldiers over time, and so that we can account for censoring due to death, discharge, changing company, MIA, or becoming a POW. Note that we are assuming that the risk of desertion, AWOL, or arrest is independent of the outcomes on which we censor. Our estimated hazard, \( \lambda(t) \), is

\[
\lambda(t) = \exp(x'\beta)\lambda_0(t)
\]

(1)

where \( x \) is vector of covariates consisting of both individual and group characteristics and \( \lambda_0 \) is the baseline hazard, which we assume to be Weibull. The survival function takes the form \( \exp(-\lambda_j t^p_j) \) for subject \( j \), where \( p \) is the duration dependence parameter and can be interpreted as representing whether men who were in the war longer became more or less committed soldiers. Alternatively, if the deserters leave early then those who remain are the more committed soldiers.\(^{26}\) The hazard ratios that we report indicate whether a one unit increase in an independent variable increases or decreases the odds of desertion, AWOL, or arrest. We account for unobserved company-level correlation by using variance correction models.\(^{27}\) Clustering on companies provides us with a lower bound on the standard error of company characteristics.\(^{28}\)

We study several postwar outcomes. We examine migration across states, census regions, and city size classes between enlistment and 1900 because of the importance of migration in improving economic opportunity. We study the predictors of writing ability in the postwar period. The newly freed slaves were for the most part illiterate and most remained so.\(^{29}\) Literacy rates for the free blacks of the north were considerably higher but still much lower than those of whites.\(^{30}\) We also examine name changes among former slaves because among slaves who enlisted under their master’s last name, a name change was arguably a way to forge a new, free identity. Slaves took their fathers’ last names,

\(^{26}\) Because some men may never be disloyal, we also estimated models that accounted for individual heterogeneity. Although we found evidence of individual heterogeneity among the former slaves, the magnitude of our coefficients remained unchanged.

\(^{27}\) Lee, Wei, and Amato, “Cox-Type Regression Analysis”; and Cai, Wei, and Wilcox, “Semiparametric Regression Analysis.”

\(^{28}\) We also ran shared frailty models in which the frailty was specific to the company. This yielded similar results.

\(^{29}\) Collins and Margo, “Historical Perspectives.”

\(^{30}\) Sacerdote, “Slavery.”
even if these last names were also slave names, because free men inherited their fathers’ names.\textsuperscript{31} We do not examine occupational transitions because our occupational information is poor; many of the men listed as farmers were probably laborers.

We study postwar outcomes by running probit regressions of the form

\[
Pr(y = 1) = \Phi(\beta X)
\]

where \(y\) is the indicator variable of interest (moved across state, moved across census region, in a large city, able to write, or changed last name) and \(X\) is a vector of individual and company characteristics. When we examine whether or not a recruit was in a large city in 1900, defined as one of the top 100 cities, we condition on enlistment in a city that in 1900 was not one of the top 100 cities. When we examine name changes we examine only those former slaves who had a name that was known to be a master’s name. We cluster all standard errors on the company.

Using a conditional logit model, we study locational choice between enlistment and 1900 for soldiers who moved across states. This model allows us to test whether black soldiers view the same state differently depending on their initial location, on their peers, and on the geographical movements of their regiment. This 48 dimensional discrete choice model explains the probability that soldier \(i\) from company \(j\) who enlisted in state \(m\) chooses state \(l\) as a function of the attributes of state \(l\), characteristics of company \(j\), and characteristics of enlistment state \(m\) and potential destination state \(l\). That is, we estimate

\[
\text{Prob}(S_{jml}) = \frac{\exp(\beta_1 X_i + \beta_2 X_{jl} + \beta_3 X_{ml})}{\sum_i \exp(\beta_1 X_i + \beta_2 X_{jl} + \beta_3 X_{mi})}
\]

The characteristics of company \(j\) \((X_j)\) include the share of company \(j\) that was born in state \(l\) and whether company \(j\) toured in state \(m\) during the war. We interpret both of these as information measures. The characteristics of state \(m\) \((X_m)\) consist of the state’s share of the population that is black. The final set of attributes \((X_i)\) are a function of state of enlistment and destination state and consist of the distance between the enlistment state and the potential location and the absolute difference in latitude between the enlistment state and each possible destination. These two variables reflect transportation costs and “human capital” costs in a farm society. All else equal, migrants should be less likely to

\textsuperscript{31} Shaffer, After the Glory, pp. 100–01.
select states that are further from their enlistment state in distance and in latitude.

In estimating the desertion, migration, literacy, and name change empirical models, we use cross-company variation in such attributes as place of birth, age, and percentage freemen within the company to test a variety of hypotheses. But, can we compare the choices made by men who served in homogenous versus heterogeneous companies and attribute observed differences to company composition? Are company diversity measures exogenous variables?

As we discussed in the previous section, company diversity was an emergent property for reasons independent of any soldier’s unobserved ability or aptitude. Table 1 shows that in companies where birthplace fragmentation was greater, men did not differ greatly in observable quality measures. Men in companies where birthplace fragmentation was higher were no more likely to die during the war and were similar in height, fighting experience, and enlistment year. The fraction of companies serving under an abolitionist officer was higher in higher birthplace fragmentation companies. When we examined the predictors of a company having an abolitionist officer we found that companies more likely to have an abolitionist officer were those formed earlier, those formed in the free northern states rather than the deep south, and those with higher birthplace fragmentation. We found no correlation between a company being led by an abolitionist officer and whether the regiment was a fighting regiment, the fraction of free men in the company, and birth cohort fragmentation in the company. After presenting

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**Table 1**

**MEAN CHARACTERISTICS OF HIGH– AND LOW–BIRTHPLACE DIVERSITY COMPANIES**

<table>
<thead>
<tr>
<th></th>
<th>Low Diversity</th>
<th>High Diversity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Died in war</td>
<td>0.218</td>
<td>0.232</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>168.517</td>
<td>169.283</td>
</tr>
<tr>
<td>Enlisted in 1863</td>
<td>0.348</td>
<td>0.402</td>
</tr>
<tr>
<td>Enlisted in 1864</td>
<td>0.513</td>
<td>0.474</td>
</tr>
<tr>
<td>Enlisted in 1865 or later</td>
<td>0.139</td>
<td>0.124</td>
</tr>
<tr>
<td>In fighting regiment</td>
<td>0.388</td>
<td>0.362</td>
</tr>
<tr>
<td>Abolitionist officer</td>
<td>0.136</td>
<td>0.415</td>
</tr>
</tbody>
</table>

*Note: Low diversity companies are companies where birthplace fragmentation is below the median and high diversity companies are companies where birthplace fragmentation is above the median. See the Data Appendix for a definition of birthplace fragmentation.*

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32 Although we have differentiated officers only as abolitionists and nonabolitionists and thus we acknowledge that there is likely to be unobserved officer ability heterogeneity, we do not believe that the best officers were matched with the best units because it was not clear ex-ante who the good military men would be.
our results, we will return to the important question of whether our diversity measures are proxying for unobserved characteristics of soldiers and companies.

DATA

Our data are based upon the military service and pension records of 5,673 black Union Army soldiers in 51 infantry companies. The military service records provide information on state of birth, age and occupation at enlistment, year and place of enlistment, and on all military service events such as death, injury, illness, desertion, arrest, AWOL, and discharge. The pension records provide information on postbellum residence, occupation, and literacy and on name changes. We can construct a panel data set only for men who are in the pension records; however, as discussed in the Data Appendix, we do not view choice-based sampling as an important issue. The data were drawn as a cluster sample, in which all of the men in the 51 companies were sampled. This enables us to create such measures of company characteristics as the percentage of free men and birthplace and cohort fragmentation. In addition we added information on the geographic movement of regiments and on officer characteristics. Variable definitions are provided in the Data Appendix. Table 2 shows mean company characteristics and outcomes for the entire sample and separately for slaves and freemen. We draw some comparisons with a random sample of white Union Army soldiers.

Our sample is representative of the U.S. Colored Troops in terms of geography and slave status. Twenty-nine percent of the men in our sample were free; 28 percent of them were from the free states and the remaining 72 percent were from the border and southern states. Twenty-two percent of these men died while in the service, a higher service mortality rate than that of 14 percent for white soldiers, mainly because sanitary conditions for black troops were so poor. Over 90 percent of black service deaths were from disease. Thirty-six percent of the men were in the 35 regiments identified as having sustained the heaviest combat losses.

33 The sample represents roughly 2.7 percent of all blacks serving. The data were collected by a team of researchers led by Robert Fogel. Once the data are completed and cleaned, they will be available from the Center for Population Economics at the University of Chicago, http://www.cpe.uchicago.edu.
34 Lee (“Health”) finds that wartime geographic movements affected the migration of white Union Army veterans.
35 The white sample is available at http://www.cpe.uchicago.edu.
TABLE 2
MEAN COMPANY CHARACTERISTICS AND OUTCOMES FOR SLAVES AND FREEMEN

<table>
<thead>
<tr>
<th></th>
<th>All</th>
<th>Slaves</th>
<th>Freemen</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mean company characteristics</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Birth place fragmentation</td>
<td>0.565</td>
<td>0.555</td>
<td>0.586</td>
</tr>
<tr>
<td></td>
<td>(0.240)</td>
<td>(0.238)</td>
<td>(0.243)</td>
</tr>
<tr>
<td>Cohort fragmentation</td>
<td>0.752</td>
<td>0.759</td>
<td>0.738</td>
</tr>
<tr>
<td></td>
<td>(0.062)</td>
<td>(0.066)</td>
<td>(0.051)</td>
</tr>
<tr>
<td>Fraction of company that was free</td>
<td>0.293</td>
<td>0.142</td>
<td>0.614</td>
</tr>
<tr>
<td>Fraction of company enlisting in a large city</td>
<td>0.253</td>
<td>0.197</td>
<td>0.371</td>
</tr>
<tr>
<td>Fraction with abolitionist officer</td>
<td>0.282</td>
<td>0.233</td>
<td>0.384</td>
</tr>
<tr>
<td>Fraction with fellow soldier from same plantation</td>
<td></td>
<td>0.049</td>
<td></td>
</tr>
<tr>
<td>Fraction traveled to new census region while in service</td>
<td>0.755</td>
<td>0.712</td>
<td>0.846</td>
</tr>
<tr>
<td>Fraction traveled to a city while in service</td>
<td>0.734</td>
<td>0.687</td>
<td>0.864</td>
</tr>
<tr>
<td><strong>Military service outcomes</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ever deserted</td>
<td>8.6</td>
<td>8.7</td>
<td>8.6</td>
</tr>
<tr>
<td>Ever AWOL</td>
<td>4.1</td>
<td>3.7</td>
<td>5.0</td>
</tr>
<tr>
<td>Ever arrested</td>
<td>1.4</td>
<td>1.6</td>
<td>1.2</td>
</tr>
<tr>
<td><strong>Postwar outcomes</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State mover between enlistment and 1900 (%)</td>
<td>44.7</td>
<td>44.4</td>
<td>45.5</td>
</tr>
<tr>
<td>Census region mover between enlistment and 1900 (%)</td>
<td>29.2</td>
<td>29.5</td>
<td>28.3</td>
</tr>
<tr>
<td>Moved to a large city and did not enlist in a large city (%)</td>
<td>27.2</td>
<td>26.8</td>
<td>29.5</td>
</tr>
<tr>
<td>Writes (%)</td>
<td>25.7</td>
<td>23.7</td>
<td>33.8</td>
</tr>
<tr>
<td>Changed name if slave with master’s last name (%)</td>
<td></td>
<td>32.3</td>
<td></td>
</tr>
</tbody>
</table>

*Notes: Standard errors are in parentheses. A large city is defined as one that in 1900 was one of the top 100 cities in the United States. Writing ability is known for 62 percent of pensioners.*

Roughly 9 percent of the men in our sample deserted at least once, a slightly lower desertion rate than the 11 percent we find among whites. Four percent of the men in our sample were ever arrested for any reason and 1 percent went absent without leave. Among whites the comparable figures were 4 and 4 percent, respectively. Approximately 9 percent of all deserters in our sample were ever subsequently arrested, a figure comparable to that for whites.37

Compared to the black population as a whole, our sample is more heavily northern, of slightly higher occupational status, and more urban. Twenty-two percent of our black veterans lived in one of the top 100 cities in the United States circa 1900. Among nonveterans of the same age group in 1910 the comparable figure was 10 percent. Veterans had higher urbanization rates than nonveterans in the South as well. Among

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37 When punishment was imposed on arrested deserters, blacks were punished more harshly. Thirteen percent of all black deserters were sentenced to hard labor or wearing a ball and chain, compared to 6 percent of white deserters. However, 49 percent of black deserters were not punished at all, compared to 44 percent of white deserters. Although sample sizes are too small to determine the statistically significant predictors of harsh punishments, punishments were slightly milder in regiments with abolitionist commanders.
black veterans who were on the pension rolls by 1900, 41 percent of those reporting a last occupation were farmers and 11 percent were professionals, proprietors, or artisans. The comparable figures for all blacks in the same age group reporting an occupation in 1900 were 49 and 8 percent, respectively.38

Figure 1 presents a schematic diagram of the data on black soldiers. Sixty-three percent of the war survivors or their widows are linked to the pension records. Seventy-seven percent of the pension records are those of former slaves or widows of former slaves. By 1900, 1,515 veterans on the pension rolls were still alive; we examine migration between enlistment and 1900 for these men. We investigate postwar writing ability using information in the pension records on the writing ability of 1,728 veteran pensioners. We study name changes for the 607

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38 Estimated from the 1910 and 1900 Integrated Public Use Census Sample.
former slaves whose last names were their masters’ names. Information
on writing literacy is available for 38 percent of pensioners and masters’
names were recorded for 40 percent of the former slaves. Few observ-
able characteristics explain nonrecording.\textsuperscript{39} The regressions are esti-
mated on somewhat fewer observations because of missing explanatory
variables.

RESULTS

\textit{Combat Unit Cohesion and Company Diversity}

Black soldiers in companies with greater diversity in state of birth
and in age were more likely to desert, go AWOL, or be arrested. Those
who knew their fellow soldiers prior to enlisting, as proxied by having
someone in the company from the same plantation, were less likely to
desert, go AWOL, or be arrested (see Table 3). A standard deviation in-
crease in birthplace fragmentation increased the odds of desertion by
1.2. A standard deviation increase in cohort fragmentation increased the
odds of desertion by 0.5. The odds that a former slave was guilty of a
disciplinary infraction when there was a comrade from the same planta-
tion were one-third the odds when there was no such comrade.\textsuperscript{40} Sol-
diers were more willing to sacrifice for cohesive units and for units
which contained their friends either because of altruism or shame—if
they deserted then everyone in their communities would know.

Former slaves were more loyal to their company than free blacks.
Slaves were fighting for their families’ freedom and may have found
their unequal pay and promotion possibilities relative to whites less
galling.\textsuperscript{41} The odds that a former slave rather than a free man would de-
sert, go AWOL, or be arrested were 0.606 (see Table 3). Controlling for
the same set of covariates, the odds that a former slave rather than a free

\textsuperscript{39} The greater the number of pension applications that were generated, the more likely we are
to have information on postwar writing ability. We are more likely to know writing ability for
former slaves, for men injured in the war, for men who served longer, and for men who were
promoted. Masters’ names were less likely to be recorded for slaves who enlisted late in the war
and for slaves who enlisted in the former Confederacy.

\textsuperscript{40} When we examined time until first desertion we obtained similar results. However, birth-
place fragmentation was the only statistically significant diversity predictor of time until first
AWOL, increasing its probability. We did not find a statistically significant relationship be-
tween birthplace or birth cohort fragmentation and time until first arrest for a cause other than
desertion. We did, however, find that when a former slave was in a company with a comrade
from the same plantation, he was less likely to be arrested.

\textsuperscript{41} Slaves also had a lower opportunity cost of military service, but, among white soldiers, the
illiterate were more likely to desert than the literate, and the poor were more likely to desert than
the well-to-do. Costa and Kahn, “Cowards.”
## TABLE 3

COMPETING RISK HAZARD MODEL OF WAITING TIME UNTIL DESERTION, AWOL, OR ARREST

<table>
<thead>
<tr>
<th></th>
<th>All Hazard Ratio</th>
<th>Slaves Hazard Ratio</th>
<th>Freeman Hazard Ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dummy = 1 if slave</td>
<td>0.606 ‡</td>
<td>3.453 †</td>
<td>3.274 †</td>
</tr>
<tr>
<td></td>
<td>(0.098)</td>
<td>(1.802)</td>
<td>(1.941)</td>
</tr>
<tr>
<td>Birth place fragmentation</td>
<td>4.984 ‡</td>
<td>3.053 †</td>
<td>1.948 †</td>
</tr>
<tr>
<td></td>
<td>(2.180)</td>
<td>(1.802)</td>
<td>(1.941)</td>
</tr>
<tr>
<td>Cohort fragmentation</td>
<td>8.525 *</td>
<td>1.928</td>
<td>27.723</td>
</tr>
<tr>
<td></td>
<td>(11.072)</td>
<td>(2.346)</td>
<td>(100.291)</td>
</tr>
<tr>
<td>Fraction of company that was free</td>
<td>0.467 †</td>
<td>0.189 ‡</td>
<td>1.159</td>
</tr>
<tr>
<td></td>
<td>(0.149)</td>
<td>(0.095)</td>
<td>(0.614)</td>
</tr>
<tr>
<td>Dummy = 1 if abolitionist officer</td>
<td>0.776</td>
<td>0.693 †</td>
<td>1.061</td>
</tr>
<tr>
<td></td>
<td>(0.146)</td>
<td>(0.108)</td>
<td>(0.246)</td>
</tr>
<tr>
<td>Dummy = 1 if fellow soldier from same plantation</td>
<td>0.358 ‡</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.191)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Duration dependence parameter</td>
<td>0.714 ‡</td>
<td>0.728 †</td>
<td>0.711 †</td>
</tr>
<tr>
<td></td>
<td>(0.038)</td>
<td>(0.040)</td>
<td>(0.097)</td>
</tr>
<tr>
<td>$\chi^2(20) / \chi^2(19)$</td>
<td>792.62</td>
<td>562.80</td>
<td>426.88</td>
</tr>
</tbody>
</table>

* indicates that the coefficient is significantly different from 1 at the 10 percent level.
† indicates that the coefficient is significantly different from 1 at the 5 percent level.
‡ indicates that the coefficient is significantly different from 1 at the 1 percent level.

**Notes:** Significance of all coefficients is for equality of all coefficients to one. Standard errors, clustered on the company, are in parentheses.

Includes 5,182 subjects, 3,614 slave and 1,568 free. Estimated from a competing risk hazard model. Days until first desertion, arrest, or AWOL are measured from first mustering in. The first instance of either is an event. Men who died, became POWs, were discharged, were missing in action, or changed companies before the first desertion, arrest, or AWOL are treated as censored. Additional covariates are dummies for year of enlistment, dummies for occupation at enlistment, dummies for bounty paid and bounty due, a dummy for light-skinned, a dummy if born in a Confederate state, age, a dummy if the nation was at war, a dummy if the regiment was a fighting regiment, and the fraction of Union victories.

The average propensity of a man would desert (results not shown) were 0.643 ($\hat{\sigma} = 0.130$, clustered standard error). If former slaves found themselves in a company with a high fraction of free men, their group loyalty was even greater. In contrast, the group loyalty of free blacks was not significantly affected by the fraction of free men in their company. Slaves among free men may have felt a greater need to prove their worth to the freemen who were their comrades. For the former slaves being in a regiment where an officer was a known abolitionist sympathizer decreased their chances of desertion, AWOL, or arrest. In contrast, freemen’s loyalty was not affected by officers’ abolitionist proclivities. Both slaves and freemen who were in the war longer became more committed soldiers (our duration dependence parameter is less than one). We find some suggestive evidence that companies with a greater proportion of light-skinned soldiers were more likely to be viewed as elite. Although light-skinned
soldier were as likely to desert as dark-skinned soldiers, when we included the fraction of light-skinned soldiers in the company, we obtained a statistically insignificant, but large hazard ratio of 0.306 ($\hat{\sigma} = 0.350$).

In earlier work, we found that company homogeneity, ideology, and morale predicted desertion, AWOL, and arrest among white soldiers.\(^{42}\) We were not able to examine whether men knew each other prior to enlistment, as we could for the black sample where we know if men were from the same plantation. Black soldiers may have been more loyal because they were more dedicated to the cause or because they knew that they and their comrades might receive no quarter on the battlefield. Compared to white soldiers black soldiers were more loyal to their companies controlling for individual characteristics, the share of Union victories, and such company characteristics as birthplace fragmentation (defined by state or country of birth) and company death rates (not shown). The odds that a black soldier rather than a white soldier would desert, go AWOL, or be arrested were 0.584 ($\hat{\sigma} = 0.080$). The odds of disloyalty for a former slave were 0.560 ($\hat{\sigma} = 0.084$) those of a white soldier and for a freedman 0.642 ($\hat{\sigma} = 0.130$) those of a white soldier.\(^{43}\) Whereas white soldiers who enlisted later in the war were more likely to desert, perhaps because they were less ideologically committed, there were no year-of-enlistment effects among black soldiers. Both whites and blacks were equally affected by company heterogeneity and by morale. The effects of birthplace fragmentation, the share of Union victories, and company death rates on desertion, AWOL, and arrest were not statistically distinguishable by race.

**Learning During the War**

Company diversity during wartime is associated with postwar migration. Table 4 shows that soldiers were more likely to move across states and across census regions between enlistment and 1900 if birthplace diversity in their company was greater. A standard deviation increase in birthplace fragmentation increased the probability of a move across state by 0.16, a 36 percent increase, and of a move across census region by 0.11, a 38 percent increase. Soldiers were more likely to move at least 239 km, the minimum moving distance for the most mobile 25 percent of the sample, if they were from companies where birthplace diversity was higher (results not shown). Birthplace fragmentation is not an indicator of own antebellum migratory propensities. When we included

\(^{42}\) Costa and Kahn, “Cowards.”

\(^{43}\) The standard errors are clustered on the company.
Forging a New Identity

Table 4
DETERMINANTS OF THE POSTWAR PROBABILITY OF MOVING ACROSS STATE, REGION, OR CITY

<table>
<thead>
<tr>
<th>Moves Across</th>
<th>State</th>
<th>Region</th>
<th>City Size</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(\frac{\partial P}{\partial x})</td>
<td>(\frac{\partial P}{\partial x})</td>
<td>(\frac{\partial P}{\partial x})</td>
</tr>
<tr>
<td>Dummy = 1 if slave</td>
<td>-0.014</td>
<td>-0.019</td>
<td>0.071*</td>
</tr>
<tr>
<td>(0.048)</td>
<td>(0.052)</td>
<td>(0.036)</td>
<td></td>
</tr>
<tr>
<td>Birth place fragmentation</td>
<td>0.664‡</td>
<td>0.465‡</td>
<td>0.092</td>
</tr>
<tr>
<td>(0.104)</td>
<td>(0.110)</td>
<td>(0.079)</td>
<td></td>
</tr>
<tr>
<td>Cohort fragmentation</td>
<td>-0.088</td>
<td>0.193</td>
<td>-0.817*</td>
</tr>
<tr>
<td>(0.472)</td>
<td>(0.418)</td>
<td>(0.349)</td>
<td></td>
</tr>
<tr>
<td>Fraction of the company that was free</td>
<td>-0.123</td>
<td>-0.192‡</td>
<td>0.149‡</td>
</tr>
<tr>
<td>(0.084)</td>
<td>(0.086)</td>
<td>(0.071)</td>
<td></td>
</tr>
<tr>
<td>Fraction of the company enlisting in a large city</td>
<td>0.172‡</td>
<td>(0.088)</td>
<td></td>
</tr>
<tr>
<td>Dummy = 1 if abolitionist officer</td>
<td>-0.040</td>
<td>-0.015</td>
<td>0.065</td>
</tr>
<tr>
<td>(0.059)</td>
<td>(0.053)</td>
<td>(0.043)</td>
<td></td>
</tr>
<tr>
<td>Dummy = 1 if regiment traveled to new region</td>
<td>0.039</td>
<td>0.134‡</td>
<td>(0.063)</td>
</tr>
<tr>
<td>(0.051)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dummy = 1 if regiment traveled to city</td>
<td>0.092*</td>
<td>(0.051)</td>
<td></td>
</tr>
<tr>
<td>Pseudo R^2</td>
<td>0.085</td>
<td>0.092</td>
<td>0.100</td>
</tr>
</tbody>
</table>

* indicates that the coefficient is significantly different from 0 at the 10 percent level.
‡ indicates that the coefficient is significantly different from 0 at the 5 percent level.
† indicates that the coefficient is significantly different from 0 at the 1 percent level.

Notes: Standard errors, clustered on the company, are in parentheses. Estimated from a probit model. Moves across city size are moves to a city that in 1900 was one of the top 100 cities, conditional on enlisting in a city that in 1900 was not one of the top 100 cities. Additional covariates are dummies for year of enlistment, dummies for occupation at enlistment, dummies for birth cohort, a dummy for light-skinned, a dummy if born in a Confederate state, the logarithm of the number of days served, a dummy if the regiment was a fighting regiment, a dummy if individual was promoted, the fraction of the company that was promoted, the fraction of the company that died in service, a dummy equal to one if the recruit was injured during the war, and dummies indicating writing ability, including unknown. The first two regressions contain 1,498 observations and the last regression contains 1,163 observations.

a dummy variable equal to one if the soldier was born in a state other than his state of enlistment in the state mover regression, the coefficient on birthplace fragmentation declined only to 0.470 (\(\hat{\sigma} = 0.107\)). Soldiers may have either gained information on a new locality from their comrades or they may have been assisted by their former comrades in relocating after the war. Soldiers were also more likely to move to a new census region if they had traveled through a new census region during the war, perhaps because they gained new information during their travels (see Table 4). Traveling to a new census region increased the probability of a move across census regions by 0.13. The results for
former slaves and freemen (not shown) were similar. Among soldiers who had not enlisted in a large city during the war, movement to a large city was predicted by travel to a city during the war and by the fraction of men in the company who had enlisted in a large city (see Table 4). Travel with the regiment to a large city increased the probability of a move to a large city by 0.09, a 30 percent increase. A standard deviation increase in the fraction of the company enlisting in a large city increased the probability of moving to a large city by 0.04, a 12 percent increase. Company state of birth fragmentation did not predict a move to large city, probably because it is a poor indicator of diversity in size of city of residence. Soldiers in companies where birth cohort fragmentation was higher were likely to move to a city.

Push factors may also have played a role in soldiers’ migration decisions. Former black soldiers and their families were targets of mob anger in the south, because in the words of one former black chaplain he was “looked upon as a runaway ‘nigger’ who has been fighting against his old master and now returns full of impudent notions of a freeman.”

Paul Escott documents the violent response between 1868 and 1878 to the extension of suffrage to blacks and poor whites in North Carolina.

When Union troops were removed from the South in 1877, blacks calling themselves “Exodusters” moved west to Kansas and Indiana. After 1889, when suffrage restrictions were further tightened and funding for black schools declined, blacks migrated to Kansas, Arkansas, Texas, and Oklahoma.

We tried to test for the importance of “push” factors by including in our migration probits the Republican share of the presidential vote in the state where the soldier enlisted as a proxy for black enfranchisement. In the South, the Republican party was the party of Reconstruction. We found that among men who enlisted in the former Confederacy, those who enlisted in states where Garfield (the Republican presidential candidate) received a larger share of the vote in 1880 were less likely to move across state between enlistment and 1900. The derivative of the coefficient on Garfield’s share of the vote was −0.036 (\(\hat{\sigma} = 0.012\), robust clustered standard errors). When we used Hayes’s share of the vote in 1876 instead the derivative of the coefficient was −0.030 (\(\hat{\sigma} = 0.017\), robust clustered standard errors). These political variables were insignificant when we looked at the former Union states.

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44 Quoted in Glatthaar, Forged in Battle, p. 252.
45 Escott, Many Excellent People. Other parts of the country had very different experiences. For example, Willis (Forgotten Time) argues that in the Yazoo-Mississippi Delta, blacks did rather well because landowners, both individuals and railroads, offered them incentives to clear the land.
46 Escott, Many Excellent People, p. 185.
Forging a New Identity

### TABLE 5
DETERMINANTS OF STATE MIGRANT LOCATIONAL CHOICE

<table>
<thead>
<tr>
<th>Dummy = 1 if regiment was there</th>
<th>Coefficient</th>
<th>Odds</th>
<th>Coefficient</th>
<th>Odds</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.341†</td>
<td>1.406†</td>
<td>0.235</td>
<td>1.264</td>
</tr>
<tr>
<td></td>
<td>(0.110)</td>
<td>(0.155)</td>
<td>(0.146)</td>
<td>(0.185)</td>
</tr>
<tr>
<td>Fraction of men in company from that state</td>
<td>1.131†</td>
<td>3.098†</td>
<td>1.159‡</td>
<td>3.187‡</td>
</tr>
<tr>
<td></td>
<td>(0.206)</td>
<td>(0.637)</td>
<td>(0.277)</td>
<td>(0.884)</td>
</tr>
<tr>
<td>Fraction of state population that was black</td>
<td>0.200</td>
<td>1.221</td>
<td>0.873‡</td>
<td>2.395‡</td>
</tr>
<tr>
<td></td>
<td>(0.197)</td>
<td>(0.240)</td>
<td>(0.251)</td>
<td>(0.600)</td>
</tr>
<tr>
<td>Distance from enlistment state (miles / 100)</td>
<td>-0.170</td>
<td>0.844‡</td>
<td>-0.177‡</td>
<td>0.838‡</td>
</tr>
<tr>
<td></td>
<td>(0.013)</td>
<td>(0.011)</td>
<td>(0.019)</td>
<td>(0.016)</td>
</tr>
<tr>
<td>Latitude difference from enlistment state (minutes)</td>
<td>-0.072‡</td>
<td>0.931‡</td>
<td>-0.066‡</td>
<td>0.937‡</td>
</tr>
<tr>
<td></td>
<td>(0.018)</td>
<td>(0.017)</td>
<td>(0.025)</td>
<td>(0.023)</td>
</tr>
<tr>
<td>Writes × regiment was there</td>
<td>0.423</td>
<td>1.526</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.290)</td>
<td>(0.442)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Writes × fraction men from state</td>
<td>-1.338‡</td>
<td>0.262‡</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.574)</td>
<td>(0.151)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Writes × fraction of black population</td>
<td>-2.892‡</td>
<td>0.055‡</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.558)</td>
<td>(0.031)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Writes × distance</td>
<td>-0.001</td>
<td>0.999</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.031)</td>
<td>(0.031)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Writes × latitude</td>
<td>-0.033</td>
<td>0.968</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.045)</td>
<td>(0.044)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pseudo $R^2$</td>
<td>0.136</td>
<td>0.136</td>
<td>0.138</td>
<td>0.138</td>
</tr>
</tbody>
</table>

* indicates that the coefficient is significantly different from 0 at the 10 percent level.
† indicates that the coefficient is significantly different from 0 at the 5 percent level.
‡ indicates that the coefficient is significantly different from 0 at the 1 percent level.

Notes: Coefficients and odds ratios are from a conditional logit model (equation 3 in the text). Characteristics are the characteristics of the potential location (state). The soldier decides which state to move to based upon its characteristics, conditional on moving to a state that is not his enlistment state. Each observation, $s_{ij}$ is person $i$’s potential choice of state, $j$. Robust standard errors, clustered on the individual, are in parentheses. There are 34,710 observations in the first regression and 27,534 observations in the second regression (individuals for whom writing ability is unknown were excluded).

What determined what state a veteran moved to? Table 5 shows that, conditional on moving across states between enlistment and 1900 (as was true for 891 men), veterans were more likely to move to a state where they had traveled during the war or where a large fraction of the men in the company were from. A veteran’s odds of moving to a state was 1.4 times higher if the regiment passed through that state than if it had not. An increase of 0.1 in the fraction of men in the company from a particular state increased the odds that a veteran would move to that state by 0.3. A higher black population share increased the odds of a veteran moving there, but not statistically significantly so. The further away a state and the greater its latitude from the state of enlistment, the less likely a veteran was to move there.
Table 5 also shows that the effect of the fraction of men in the company from a state on the probability of moving to that state was smaller if the veteran could write, perhaps because veterans who could write were less dependent upon their comrades for information about localities. For the illiterate an increase of 0.1 in the fraction of men in the company from a particular state raised the odds of moving to that state by 0.32. In contrast, for the literate such an increase in the fraction of men in the company from a particular state raised the odds of their moving to that state by only 0.29. Note that when the fraction of blacks in the state is interacted with writing ability, it becomes a statistically significant predictor of a veteran’s odds of moving to that state. An increase of 0.1 in the fraction of blacks in the state increased the odds that an illiterate veteran would move there by 0.29 and increased the odds that a literate veteran would move there by 0.28. Once again, networks of friends may have been more important for the illiterate. In contrast to the literacy results, there were no differential effects by slave status.

The former slaves were more likely to be able to write after the war if they were in a company with a large fraction of free men (see Table 6). A standard deviation increase in the fraction of the company that was free increased the probability that a veteran could write by 0.28, an increase of 108 percent. This is probably an underestimate of the true effect; if charitable organizations focused their efforts on slave units, we would expect that slaves would benefit from being with other slaves. Because free men were more likely to be able to write, they may have been a valuable educational resource for their slaves comrades. The greater the number of days spent in the army, the more likely the former slaves were to be literate. An additional hundred days spent in the army increased the probability that a veteran could write by 0.21.\textsuperscript{47} Whether or not a commanding officer had abolitionist tendencies did not matter.\textsuperscript{48} In contrast, for the free men, having an abolitionist officer was a positive predictor of postbellum writing ability and a large fraction of freemen in the company was a negative predictor of writing ability. We suspect that freemen in predominately slave companies were a selected sample; if literate freemen were deliberately recruited into slave companies because of their literacy skills, then the freemen observed in slave companies would literate. For the freemen greater age diversity was also a negative predictor of postwar writing ability.

\textsuperscript{47} Some companies served fewer days than the regular three year term because they formed late and were disbanded when the war ended.

\textsuperscript{48} Relative to men who did not serve there are some modest schooling effects of military service. Twenty-eight percent of African-Americans veterans living in the south in 1910 were able to write compared to 25 percent of nonveterans of the same age group. (Estimated from the 1910 Integrated Public Use Census sample.)
Forging a New Identity

TABLE 6
DETERMINANTS OF POSTWAR WRITING ABILITY

<table>
<thead>
<tr>
<th></th>
<th>All</th>
<th>Slaves</th>
<th>Freemen</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\frac{\partial P}{\partial x}$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dummy = 1 if slave</td>
<td>-0.081\textsuperscript{†}</td>
<td>(0.040)</td>
<td></td>
</tr>
<tr>
<td>Birth place fragmentation</td>
<td>-0.048</td>
<td>-0.034</td>
<td>-0.041</td>
</tr>
<tr>
<td></td>
<td>(0.059)</td>
<td>(0.065)</td>
<td>(0.128)</td>
</tr>
<tr>
<td>Cohort fragmentation</td>
<td>-0.317</td>
<td>-0.128</td>
<td>-1.266\textsuperscript{‡}</td>
</tr>
<tr>
<td></td>
<td>(0.210)</td>
<td>(0.283)</td>
<td>(0.412)</td>
</tr>
<tr>
<td>Fraction of the company that free</td>
<td>0.050</td>
<td>0.081\textsuperscript{†}</td>
<td>-0.438\textsuperscript{†}</td>
</tr>
<tr>
<td></td>
<td>(0.042)</td>
<td>(0.047)</td>
<td>(0.176)</td>
</tr>
<tr>
<td>Dummy = 1 if abolitionist officer</td>
<td>0.039</td>
<td>0.012</td>
<td>0.122\textsuperscript{‡}</td>
</tr>
<tr>
<td></td>
<td>(0.031)</td>
<td>(0.040)</td>
<td>(0.056)</td>
</tr>
<tr>
<td>Log(number of days served)</td>
<td>0.044\textsuperscript{†}</td>
<td>0.052\textsuperscript{†}</td>
<td>0.045</td>
</tr>
<tr>
<td></td>
<td>(0.021)</td>
<td>(0.023)</td>
<td>(0.047)</td>
</tr>
<tr>
<td>Pseudo$R^2$</td>
<td>0.061</td>
<td>0.049</td>
<td>0.151</td>
</tr>
</tbody>
</table>

\textsuperscript{†} indicates that the coefficient is significantly different from 0 at the 5 percent level.
\textsuperscript{‡} indicates that the coefficient is significantly different from 0 at the 1 percent level.

Notes: Estimated from a probit model. Standard errors, clustered on the company, are in parentheses. Additional covariates are dummies for year of enlistment, dummies for occupation at enlistment, dummies for birth cohort, a dummy for light-skinned, the logarithm of the number of days served, a dummy if the regiment was a fighting regiment, a dummy if individual was promoted, and a dummy equal to one if the recruit was injured during the war. 1,643 observations, 1,315 slaves and 328 freemen.

An observable signal of forging a new identity and leaving one’s slave experience behind is to change your name. We examine the causes of name changes for our ex-slave sample. A larger share of free men in the company encouraged the former slaves to abandon their slave names. When we examined the 576 former slaves who had a last name that was the name of their master and for whom we had fairly complete records, we found that 32 percent of them changed their last name and that in a probit regression the derivative of the coefficient on the fraction of free men in the company was 0.224 ($\hat{\delta} = 0.102$, where the standard error is clustered on the company).\textsuperscript{49} For the small set of men whose fathers’ names were listed in the pension records, we were able to determine that most men who changed their last names changed them to their fathers’ last names. Name changes were not statistically significant predictors of either postwar migration or writing ability. However, the magnitude of the coefficients suggested that former slaves who had

\textsuperscript{49} We also found that 17 percent of freemen changed their names. However, company characteristics did not predict name changes among free men.
rejected their masters’ last names were more likely to migrate across states and were more likely to write.

Summary

A satisfying feature of the “short-run costs, long-run benefits” of the diversity hypothesis is that it can rationalize all of the facts we have presented in this article. In addition, it is consistent with the recent literatures we cited in the article’s introduction. A skeptic of this study might question whether diverse companies have a truly causal effect on soldiers in the short run and long run and argue that diversity merely proxies for a company of misfits. Our reply would be three-fold. Firstly, recruiters could have no firsthand knowledge of who would be a good soldier. Secondly, as we showed in Table 1, diverse units were not outliers. Thirdly, we find other evidence consistent with the social capital hypothesis, namely the large “same plantation” effects and the large effect of freemen on slaves in our desertion results. When slaves served with men from their same plantation or with free men, their desertion probability was much lower. These results are consistent with the social capital hypothesis. The skeptic would also have trouble explaining the long-run benefits we observe. If ex-slaves are not learning in a diverse environment, how else can one explain their higher literacy rates, migration to the states where men in their company are from, and higher propensity to change their name?

CONCLUSION

For a former slave, a dramatic observable life change would be abandoning one’s slave name, a change in residence, and becoming literate. Was Civil War service a catalyst for these changes? Because each of the 51 companies in our data set experienced a different set of peers and because each of the 39 regiments in our data set experienced a different set of leaders and traveled to different places, we can test how different war experiences changed the lives of ex-slaves and freemen. We find that, in the short run, the combat unit benefited from homogeneity fostering social capital and thus minimizing shirking but, in the long run, men’s human capital and information was best improved by serving in heterogeneous companies.50 Slaves who served with freemen were more

50 Although we have documented the benefits of participating in a heterogeneous unit, we cannot construct the counter-factual of how a black man’s life choices would have evolved if he had not served in the military. We do not have a control group of men who were close to being drafted but did not serve.
likely to be literate after the war, perhaps because freemen served as teachers.

Participation in the Civil War may have both magnified the initial differences between slaves and freemen and created the necessary conditions that allowed the descendants of slaves to catch up to the descendants of free men. It took two generations for the descendants of slaves to catch up to the descendants of free black men and women in literacy, occupation, and children’s school attendance. The majority of northern, black freemen served whereas only a fraction of blacks in the former slave states served. The freemen therefore disproportionately benefited from knowledge of migration opportunities learned from comrades or from travel and from educational programs set up by abolitionist officers. However, the war exposed some former slaves to new social interactions, allowing them to learn of migration opportunities, to learn how to write, and to adopt a freeman’s identity. Slaves who had served in the Union Army in turn may then have paved the way for other ex-slaves, by helping them to adjust to freedom and to migrate from rural to urban areas. Although the positive relationship between company diversity and desertion, AWOL, and arrest suggests that neither individual soldiers nor the army liked diversity, the postwar benefits of company diversity may have been extremely high.

Data Appendix

PENSION RECORDS

What determined entry on the pension rolls we use to obtain information about postwar outcomes? Both white and black veterans were eligible for a pension for war-related injuries. In 1890 pensions began to be paid for any disability, regardless of its relation to the war and by 1907 old age was recognized by Congress as a disability. Given the high rates of discrimination against blacks around the turn of the century, the pension program was relatively nondiscriminatory. Among all men who identified themselves as Union veterans in the 1910 census we were able to find 86 percent of the white veterans and 79 percent of the black veterans in the pension records. Although blacks were less successful than whites in their original pension applications and in applications for increases, even when an application was rejected, information about geographic residence, writing ability, and name changes was recorded. Soldiers who survived the war were less likely to have a pension record if they had ever deserted (deserters who never returned to fight were ineligible), if they had never been injured in the war, if they were not from a fighting regiment, if they had never been promoted, if they were born in the Confederacy, if they were freemen, and if they were light-skinned.

51 Sacerdote, “Slavery.”
52 Blanck and Song, “Civil War Pensions.”
DEPENDENT VARIABLES

We examine time until first desertion, arrest, or AWOL among men in the service. For men on the pension rolls we use several dependent variables. We examine moves between state of enlistment and 1900 using a dummy variable equal to one if the veteran ever moved across states and a dummy variable equal to one if the veteran ever moved across census regions. We investigate urbanization between enlistment and 1900 by using a dummy variable equal to one if a veteran lived in city of at least 38,300 in 1900 (one of the top 100 cities) and condition on the recruit enlisting in a city that in 1900 was not one of the top 100 cities. We check what state a veteran moved to, conditional on his being a mover, using an indicator variable for all 48 states (or territories). We investigate the determinants of a veteran’s writing ability using an indicator variable equal to one if the veteran could write. This variable is not a good indicator of overall literacy rates because information on writing literacy is not available for everyone in the sample. We also created a variable indicating whether or not a soldier changed his name. We examine name changes only among former slaves who had a name that was a master’s name.

INDEPENDENT, INDIVIDUAL VARIABLES

Our individual control variables are age at enlistment; dummy variables indicating occupation at enlistment (farmer; professional, proprietor, or artisan; servant; laborer; and, unknown); a dummy equal to one if the recruit was born in the Confederacy; a dummy variable equal to one if the recruit was paid a bounty upon enlistment and a dummy variable equal to one if the recruit was owed a bounty; dummy variables indicating year of muster or enlistment; a dummy equal to one if the recruit was light-skinned; a dummy equal to one if the recruit was wounded in the war; the number of days served until discharge; a dummy equal to one if the soldier was ever promoted to corporal or sergeant; and, a dummy variable indicating whether or not the recruit was a slave. When slave status was not given (true for 64 percent of the sample), it was inferred from state of enlistment, e.g., all men enlisting in slave states were assumed to be slaves and vice-versa. When we examine postwar migration we also control for whether or not the recruit could write.

COMPANY CHARACTERISTICS

Birth Place Fragmentation: We calculated, by company, the fraction of individuals born in each U.S. state. Our birthplace fragmentation index, $f_i$, is then

$$ f_i = 1 - \sum_k s_{ki}^2 $$

where $k$ represents the categories and where $s_{ki}$ is the share of men born in place $k$ in company $i$.

Cohort Fragmentation: We calculated, by company, the fraction of individuals born in each five year birth cohort. Our cohort fragmentation index is then calculated the same way as our birthplace fragmentation index.

53 Fogel and Engerman (Time, p. 37) estimate that only 6 percent of blacks in the South were free. The free blacks of the North were primarily the descendants of colonial-era slaves (Hodges, Slavery).
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Fraction of Company Free: The fraction of the company consisting of free men.
Fraction of the Company Dying: The fraction of the company dying while in the service. A single variable when used as a control for postwar experiences.
Fraction Promoted: Fraction of the company promoted to corporal or sergeant.
Abolitionist Officer: Dummy equal to one if any of the regiment’s officers were known to be friendly to the abolitionist cause. Information on who the regiment’s officers with ranks of colonel, lieutenant-colonel, or major were was obtained from Dyer and from U.S. Adjutant General’s Office. Information on these officers’ sentiments was obtained from U.S. War Department, Joseph Glatthaar, Hordon Hargrove, Howard Westwood, Mark Boatner, and John Hubbell and James Geary.
Same Plantation: Dummy equal to one if the slaves were ever on the same plantation, as indicated by the owners’ names.
Fighting Regiment: Dummy equal to one if the regiment sustained heavy losses, as indicated by Hargrove.
Regiment Traveled to New Region: Dummy equal to one if the regiment ever moved to a new census region from that of enlistment. Compiled from Dyer’s work.
Regiment Traveled to a City: Dummy equal to one if the regiment ever traveled to a city (other than that of enlistment). Compiled from Dyer’s work.

OTHER VARIABLES

In our hazard models we also control for whether or not the nation was still at war with a time-varying dummy variable that varies every half year. We also control for the fraction of Union victories through a time-varying variable that indicates for each half year that the recruit was in service the fraction of major Union victories to all major battles in that half year. This variable takes the value zero if there were no major battles.

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